1. **Compactification on $S^1$ revisited**

The purpose of this exercise is to reproduce our results on $S^1$ compactification from the lattice point of view.

(i) Show that
\[
\left\{ \frac{1}{\sqrt{2}} \left( \frac{n\sqrt{\alpha'}}{R} + \frac{wR}{\sqrt{\alpha'}}, \frac{n\sqrt{\alpha'}}{R} - \frac{wR}{\sqrt{\alpha'}} \right) \right\}
\]

is an even, self-dual lattice in $\mathbb{R}^{1,1}$ with metric $(+-)$.

(ii) How does the group $O(1,1,\mathbb{R})$ act on the lattice?

(iii) Identify the subgroup $O(1,1,\mathbb{Z}) \subset O(1,1,\mathbb{R})$ and show how it acts on the lattice.

(iv) Identify the T-duality group $PO(1,1,\mathbb{Z})$ and show how it acts on the lattice.

(v) What is the moduli space
\[
O(1,1,\mathbb{Z}) \backslash O(1,1,\mathbb{R})/(O(1,\mathbb{R}) \times O(1,\mathbb{R}))?
\]

2. **The $E_8 \times E_8$ and $Spin(32)/\mathbb{Z}_2$ heterotic strings**

To construct the heterotic string theories we take the left-moving modes of the bosonic string
\[
X^\mu_L(\tau + \sigma) \quad X^I_L(\tau + \sigma)
\]
and marry them to the right-moving modes of the superstring
\[
X^\mu_R(\tau - \sigma) \quad \tilde{\psi}^\mu(\tau - \sigma).
\]

Here the indices $\mu, \nu = 0,\ldots, 9$ and $I, J = 10,\ldots, 25$. The additional dimensions of the bosonic string are compactified on a torus characterized by an even self-dual lattice $\Gamma \subset \mathbb{R}^{16}$. (Since we’re only compactifying left-movers, $\mathbb{R}^{16}$ carries a Euclidean norm.)
There are exactly two ESD lattices in $\mathbb{R}^{16}$. One is denoted $\Gamma^8 \oplus \Gamma^8$, where $\Gamma^8$ is an ESD lattice in $\mathbb{R}^8$. An (overcomplete) basis for $\Gamma^8$ is

$$\pm e_i \pm e_j \quad i, j = 1, \ldots, 8$$

$$\pm \frac{1}{2} e_1 \pm \frac{1}{2} e_2 \cdots \pm \frac{1}{2} e_8 \quad \text{even number of } + \text{ signs}$$

Here $e_i$ are orthonormal basis vectors and the $\pm$ signs are chosen independently except for the restriction stated explicitly in the second line. The other ESD lattice in $\mathbb{R}^{16}$ is denoted $\Gamma^{16}$. The definition of $\Gamma^{16}$ looks just like the definition of $\Gamma^8$, except that you work in $\mathbb{R}^{16}$ and the indices take values $i, j = 1, \ldots, 16$.

Your assignment: work out the spectrum – in particular the Lorentz quantum numbers – of the massless states of the two heterotic string theories.

A few comments:

(i) You need to impose the Virasoro constraints. The important ones are the zero modes

$$\frac{1}{4} \alpha' \left( p_\mu p^\mu + p^I_L p^I_L \right) + N - 1 = 0 \quad \text{on the left-movers}$$

$$\frac{1}{4} \alpha' p_\mu p^\mu + \tilde{N} - \frac{1}{2} = 0 \quad \text{right-movers, NS sector}$$

$$\frac{1}{4} \alpha' p_\mu p^\mu + \tilde{N} = 0 \quad \text{right-movers, R sector}$$

(ii) You need to make the GSO projection on the right-movers, $(-1)^{F} = 1$ where the operator $\tilde{F}$ is defined in Polchinski (10.2.24). A somewhat more tractable expression for $(-1)^{\tilde{F}}$ can be found in GSW on p. 219 (NS sector) and p. 222 (R sector).

A comment: the gauge fields you found with $p_L = 0$ generate the Cartan subalgebra (the maximal abelian subalgebra) of the full gauge group. The remaining gauge fields fill out the adjoint representation of either $E_8 \times E_8$ or $SO(32)$.

3. **T-duality of type II**

Consider the IIA string compactified on a circle, with $X^9 \approx X^9 + 2\pi R$. Just as in the bosonic string, we define T-duality as a one-sided parity transformation

$$X^9_R \rightarrow -X^9_R \quad \bar{\psi}^9 \rightarrow -\bar{\psi}^9.$$
Show that this transformation gives you the IIB string on a circle of radius $\alpha'/R$. (The main thing is to show that the IIA GSO projection gets mapped to the IIB GSO projection. On p. 26 Polchinski denotes these by

$$\begin{align*}
\text{IIB} : & \quad (NS+, NS+) \quad (R+, NS+) \quad (NS+, R+) \quad (R+, R+) \\
\text{IIA} : & \quad (NS+, NS+) \quad (R+, NS+) \quad (NS+, R-) \quad (R+, R-) 
\end{align*}$$

If you need some help with how parity acts on spinors see Peskin and Schroeder p. 65.)

A comment: unlike in the bosonic string, there is no minimum radius for a circle in type IIA. You have to allow $0 < R < \infty$ to get all possible inequivalent compactifications of type IIA. What you’ve shown is that IIA on a circle of radius $R < \sqrt{\alpha'}$ can be reinterpreted as IIB on a circle with $R > \sqrt{\alpha'}$. 